

PUTTING MY CLIENTS' INTERESTS FIRST



I believe in placing my clients' best interests first. Therefore, I am proud to commit to the following five fiduciary principles:

I will always put my clients' best interests first.

I will act with prudence; that is, with the skill, care, diligence, and good judgment of a professional.

I will not mislead clients, and I will provide conspicuous, full and fair disclosure of all important facts.

I will avoid conflicts of interest.

I will fully disclose and fairly manage, in my clients' favor, any unavoidable conflicts.

Advisor _____

Firm Affiliation _____

Date _____